



Illinois Department of Transportation

Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

IDOT GRANT ACCOUNTABILITY AND TRANSPARENCY ACT GUIDE

Handbook for IDOT grantees to understand the GATA process

MAY 11, 2021

VERSION 2

Grant Services and Administrative Section

Contact us at: DOT.GATA@Illinois.gov



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Table of Contents

Introduction

[IDOT Mission and Guiding Principles](#).....Page 2

[IDOT Grant Services and Administrative Section](#).....Page 2

[What is GATA](#).....Page 2

[Catalog of State Financial Assistance \(CSFA\)](#).....Page 3

[Notice of Funding Opportunity \(NOFO\)](#).....Page 3

[GATA Pre-Award Requirements](#).....Page 4

GATA Pre-Qualification

[Importance of getting pre-qualified](#).....Page 5

[Pre-Qualification Process](#).....Page 5

Risk Assessments

[Fiscal and Administrative Risk Assessment \(ICQ\)](#).....Page 14

[Programmatic Risk Assessment \(PRA\)](#).....Page 14

Indirect Cost Rates

[Types of Indirect Cost Rate Elections](#).....Page 15

[Election Timeframes](#).....Page 16

[IDOT State of Illinois University ICR Policy](#).....Page 16

Centralized Audit Review Process

[Certification Questions](#).....Page 20

[Consolidated Year End Financial Report](#).....Page 21

[Audit Upload](#).....Page 23

[Data Collection Form](#).....Page 24

[Finalization of the Audit Process](#).....Page 24

Statewide Grant Management System

[Upcoming News](#)Page 25

[Attachment A](#) (CYEFR In-Relation to Opinion Example #1).....Page 26

[Attachment B](#) (CYEFR In-Relation to Opinion Example #2).....Page 27

[Attachment C](#) (IDOT Contact Information).....Page 28



Illinois Department of Transportation

Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

Introduction

IDOT Mission and Guiding Principles

We provide safe, cost-effective transportation for Illinois in ways that enhance quality of life, promote economic prosperity, and demonstrate respect for our environment.

We will accomplish our mission while making the following principles the hallmark of all our work:

Safety

Integrity

Responsiveness

Quality

Innovation

IDOT's Quality Policy derives from the Department's vision to be recognized as the premier state department of transportation in the nation, and from its mission to provide safe, cost-effective transportation for Illinois to enhance quality of life, promote economic prosperity and demonstrate respect for our environment.

The Quality Policy itself is a direct extension of the Department's stated guiding principles, addressing aspects of all five principles: Safety, Integrity, Responsiveness, Quality and Innovation.

Through its Quality Policy, IDOT seeks to achieve its mission to provide safe, cost-effective transportation for Illinois by meeting or exceeding the needs and expectations of its customers, mainly transportation users throughout the state. The Quality Policy ensures that IDOT will seek continuous improvement in quality through programs that enable all employees to do their jobs right the first time and every time. The IDOT operational strategy includes goals and objectives that support and implement this policy, along with the mission, vision and guiding principles of the Department.

IDOT Grant Services and Administrative Section

It is the direct mission of the Grant Services and Administration Section to provide accurate and professional services to IDOT's grantee community and to the IDOT program staff. The services should be performed in a timely and efficient manner and in accordance to Department policies and procedures.

What is GATA?

GATA is a state law passed in 2014 ([30 ILCS 708/1](#)) that increases accountability and transparency in the use of grant funds from all funding sources and reduces administrative burdens on both State agencies and grantees by adopting federal guidance and regulations, specifically [2 CFR 200](#).

- 2 CFR 200 uniform requirements are already in effect (December 24, 2014)
- GATA adopts these federal rules for state funded grants (effective July 1, 2015)
- Established a State Catalog of Financial Assistance
- Established a portal for grantee registration and pre-qualification



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- Notice of Funding Opportunities located in one location for all state agencies
- Uniform processes and grant templates for all state agencies and all grant programs
 - Application
 - Budget
 - Programmatic Risk Assessment
 - Conflict of Interest Forms
 - Merit Based-Review Process
 - Notice of State Award
 - Uniform Grant Agreements
- Centralized Approach to Indirect Cost Rate Negotiations
- Centralized Audit Review Process
- (Upcoming) State-Wide Grant Management System utilized by all state agencies and grantees

Catalog of State Financial Assistance (CSFA)

The Catalog of State Financial Assistance is a single, authoritative, statewide, comprehensive source document of State financial assistance program information. The CSFA is publicly available and lists all grants including objectives and goals, eligibility requirements, exceptions and exemptions, required audits, financial and performance reports.

<https://www2.illinois.gov/sites/GATA/Grants/SitePages/CSFA.aspx>

The CSFA contains, at a minimum, the following information:

- Catalog of Federal Domestic Assistance (CFDA) and Catalog of State Financial Assistance (CSFA) numbers
- Program description
- Eligibility
- State and Federal rule citations
- Total funding, funding source
- Performance measures

Notice of Funding Opportunity (NOFO)

A Notice of Funding Opportunity (NOFO) is a state agency's formal announcement of the availability of State, federal or federal pass-through funding through one of its grant programs. The NOFO is used for competitive grant opportunities and provides potential applicants with eligibility and evaluation criteria, funding preferences/priorities, the submission deadline, and information on how to obtain an application for the funding opportunity.



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Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

All NOFOs offered in the State of Illinois are posted on the Illinois Catalog of State Financial Assistance (CSFA) at:

<https://www2.illinois.gov/sites/GATA/Grants/SitePages/CSFA.aspx>

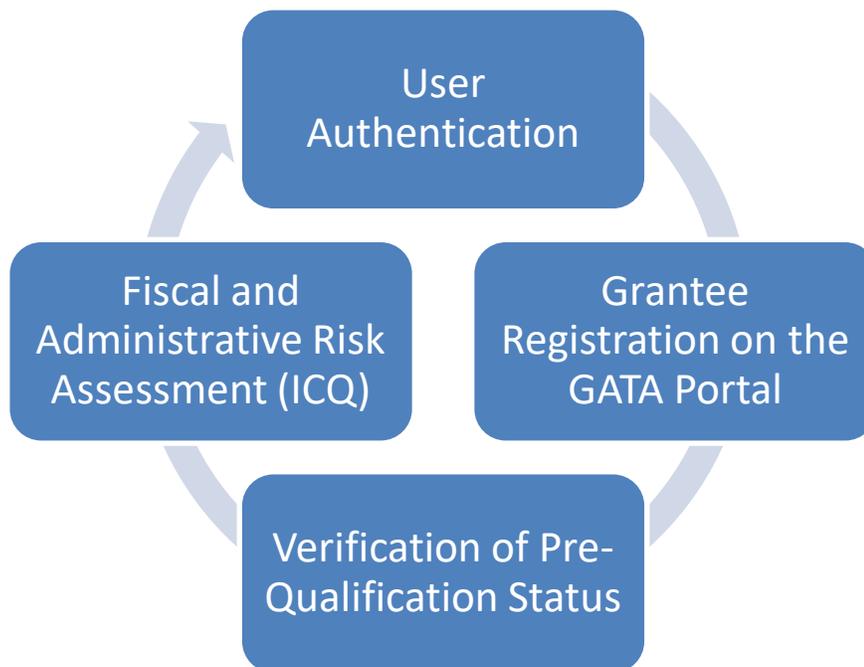
GATA Pre-Award Requirements

The purpose of GATA is to provide for the development of a coordinated, non-redundant process for the provision of effective and efficient oversight of the selection and monitoring of grant recipients, ensuring quality programs, limiting fraud, waste and abuse, and defining the purpose, scope, applicability and responsibilities in the life cycle of a grant.

GATA requires applicant organizations to complete specific requirements to receive a grant award from the State of Illinois. These pre-award requirements consist of completing the GATA pre-qualification process and two risk assessments: Fiscal and Administrative ICQ and the Programmatic Risk Assessment.

GATA pre-qualification requirements must be completed **before** an organization submits a grant application to the State of Illinois.

There are five (4) steps required to register with GATA and meet the pre-qualification requirements:





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GATA Pre-Qualification

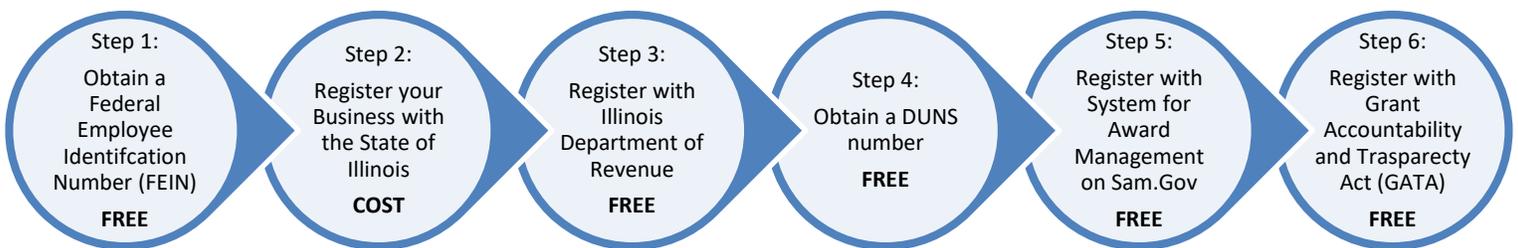
Importance of Getting Pre-Qualified

Applicant organizations must be prequalified to apply for grants in the State of Illinois.

Organizations that receive formula funding, such as Motor Fuel Tax, should always be pre-qualified.

It is important to get pre-qualified to ensure a grant application deadline is not missed. Some of the pre-qualification steps may take several weeks to become active, for example, creating a SAM.gov account. Most grants are only open for application for about 30-90 days; therefore, completing the pre-qualification steps now will help to ensure you don't miss a deadline.

Pre-Qualification Process



Step 1: Obtain a Federal Employee Identification Number (FEIN) - FREE

FEIN is also known as Employer Identification Number (EIN). FEIN/EIN is used by the federal government to identify a business entity. It is **FREE** to obtain a FEIN/EIN. Additionally, if you apply online you can get a FEIN/EIN immediately.

To obtain a FEIN/EIN:

1. Go to the IRS website at: <https://www.irs.gov/businesses/small-businesses-self-employed/employer-id-numbers>
2. Click on "How to Apply for an EIN".
3. Follow the instructions for your preferred method to apply.



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2300 South Dirksen Parkway / Springfield, Illinois 62764

Step 2: Register Your Business with the State of Illinois – COST

Illinois businesses can organize as a Sole Proprietorships, General and Limited Partnerships, Limited Liability Partnerships (LLP), Limited Liability Companies (LLC), "S" Corporations or "C" Corporations. Before selecting a business type, consult an attorney or accountant for assistance in determining which one is best for your business.

To incorporate, please visit your entity's designation: Refer to the Illinois Secretary of State Business Services website: https://www.cyberdriveillinois.com/departments/business_services/home.html

Note: governmental entities, school districts and select religious organizations are not required to be registered with the Illinois Secretary of State.

Note: there will be a cost for incorporating your business with the state of Illinois. The cost is dependent on your entity's designation.

Step 3: Register with Illinois Department of Revenue – FREE

Any entity conducting business in Illinois or has Illinois customers must register their business with the Illinois Department of Revenue. For more information, please visit: <https://www2.illinois.gov/rev/businesses/Registration/Pages/default.aspx>

To register online visit: https://mytax.illinois.gov/_/#3

Step 4: Obtain a Data Universal Numbering System (DUNS) Number – FREE

The Data Universal Numbering System (DUNS) is the official tracking database of all government contractors. After you register, your business entity will be given a nine-digit number.

As stated on Grants.gov, when you register for DUNS you will need the following information:

- Name of organization
- Organization address
- Name of the chief executive officer (CEO) or organization owner
- Legal structure of the organization (e.g., corporation, partnership, proprietorship)
- Year the organization started
- Primary type of business
- Total number of employees (full and part-time)

To register, go to: <http://fedgov.dnb.com/webform/>



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Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

A valid DUNS number will be needed for the following steps to get pre-qualified for a grant. If you are creating a new DUNS number, it can take 1 business day to obtain one.

Step 5: Register with System Award Management (SAM) SAM.Gov – FREE

SAM.gov is the central registration point for all government contractors and review of federal stop pay lists. GATA requires all organizations to have a current and active SAMs registration to apply for a grant program or receive a grant award or payment. Your organization will be required to maintain the SAMs registration for the duration of the grant period.

Create a new SAMS Registration:

As stated on [Grants.gov](https://www.grants.gov), when you register for [SAM.gov](https://www.sam.gov) you will need the following items:

- Create a new SAMS Registration (you will need the following items):
- DUNS Number
- Taxpayer Identification Number (TIN) or Employment Identification Number (EIN)
- Banking information such as bank's routing number and bank account number along with if it is a savings or checking account. This is needed to setup Electronic Funds Transfer.
- You will need a valid email address and access to a mobile phone for SAM.gov to send you a security code.

After registration, [SAM.gov](https://www.sam.gov) requires you to send a **notarized letter** indicating you are the authorized entity administrator.

- Use the **Templates** provided and **print them on your entity's leader head.**
- After completed and notarized, MAIL the document to Federal Service Desk.

FEDERAL SERVICE DESK

ATTN: SAM.GOV REGISTRATION PROCESSING
460 INDUSTRIAL BLVD
LONDON, KY 40741-7285
UNITED STATES OF AMERICA

Please allow 3 weeks for your registration to process. Once SAM.gov registration is completed, you will be given a SAM Cage code number.

Note: this process is free to apply. After completing the online application, you may receive phone calls requesting a fee to quickly process your SAMS registration. You are not required to pay this fee to process your SAMs registration in a timely manner.

Verify an Existing SAMS Registration

If you already have a SAM.gov account, **be sure to check that it is active and up to date.** You can check your SAM.gov account status by going to: <https://www.sam.gov/SAM/pages/public/samStatusTracker.jsf> (Please note, SAM.gov has a log out feature if there is no activity after 30 minutes).

Note: SAM.Gov requires annual updating to remain active



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Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

Step 6: Register with Grant Accountability and Transparency Act (GATA)- FREE

After your organization has secured a FEIN, DUNS and SAM.gov number, you can register on the GATA Grantee Portal <https://grants.illinois.gov/portal>. The GATA Grantee Portal is an internet-based platform used by the State of Illinois to conduct registration, pre-qualification and fiscal and administrative risk assessments of entities that seek to receive an award from a State grantmaking agency.

Awardees utilize the GATA Grantee Portal to monitor and maintain qualified status, accept a Notice of State Award (NOSA), apply for an indirect cost rate, and comply with specific post-award requirements.

The following items are needed to register with GATA:

- Valid Email Address
- Illinois Secretary of State (SOS) registration (if required)
- Valid FEIN/EIN
- Valid DUNS number
- Current SAM.gov, completed registration

Step 6.1 – Authentication

As stated on the GATA website (grants.illinois.gov), applicant organizations access the GATA Grantee Portal at <https://grants.illinois.gov/portal>. A personal or business email address is required to establish an account. Authentication verifies the relationship between an individual and the organization they represent. Authentication is performed once for each individual associated with a registration.

To obtain an account, go to the GATA Grantee Portal website and click the “[Create Account](#)” button. For help with the Illinois.gov Authentication Portal please click the “[Account Help](#)” button.

IMPORTANT: IDOT highly suggests that you have at least two people from your organization with access to your entities GATA Registration. At minimum we suggest the Treasurer (CFO or equivalent) and a program staff person have access. If you leave your organization, please make sure that the proper staff will have access after your departure.

Step 6.2 – Create an Account

Illinois Grant Accountability and Transparency Act Grantee Portal

Welcome to the Illinois GATA Grantee Portal. To access the Portal you must have an Illinois.gov account.

[Sign In Here](#) [Create Account](#) [Account Help](#)

[Grant Management System News](#)

Frequently Asked Questions

- [How to access the Illinois GATA Grantee Portal.](#)
- [How to create an Illinois.gov public account.](#)
- [How to reset your password.](#)
- [All Grantee Portal FAQs](#)

Department of Human Services (DHS) Centralized Repository Vault (CRV) Users

If you have a DHS CRV account or an Illinois.gov partner account in the external domain, you can use it to access the Grantee Portal but you must append "[@external.illinois.gov](#)" to the end of your username.
For example: **John.Doe@external.illinois.gov**
For assistance with your CRV account please [click here](#).



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Step 6.3 – Enter your information, then click on “Register”



Accounts > Create a new Account

Create a new Account

Registration

Your Username can include letters, numbers, and periods (may not start or end with a period); and must be between 6 and 20 characters long.

Examples: John.Smith JSm1th

First Name:	<input type="text"/>
Last Name:	<input type="text"/>
Email Address:	<input type="text"/>
Confirm Email Address:	<input type="text"/>
Cell Phone: (Optional)	<input type="text" value="Example: 999-999-9999"/>
Cell Carrier:	<input type="text" value="- Not Supplied -"/>
Choose your Username:	<input type="text"/>
Password:	<input type="password"/>
	Password Rules
Confirm Password:	<input type="password"/>
	<input type="button" value="Register"/> <input type="button" value="Cancel"/>

Step 6.4 – Verify your Email

After clicking on “Register” you should receive an email from Identitymanagment@Illinois.gov. To verify your account **you will need to click the link in the email message** that was sent to you from identitymanagerment@illinois.gov. If you did not receive the email, check your spam or trash folder.

Once verified, you will have an active Illinois public account.



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Step 6.5 – Grantee Registration

Grantee Registration is completed by browsing to <https://grants.illinois.gov/portal> and signing in with your new Illinois public account.

You will be requested to enter your DUNS number to associate your Illinois.gov account with your organization. **New accounts must enter the organization's information on the Registration screen.** Existing accounts must click the "Edit" button next to the organization name on the Main Menu.

Step 6.6 – Understanding the types of Grantee Registrations

- Individual –1 entity, 1 registration
- Centralized –primarily for Local Governments –Counties and Municipalities with multiple departments and divisions where the funds/awards go to the county or municipality's highest level and then to the specific division or department.
 - The highest entity level would register for all departments and divisions. All entities that fall under this centralized registration would be listed/identified in a "Grantee Note" by the registrant or the registrant can obtain assistance from DOT.GATA@illinois.gov to enter in the additional entities for them.
 - All of the awards for any of the entities listed would go to the registered FEIN, DUNS and GATA registration (a.k.a. Organization ID) numbers listed on the centralized registration.
 - *One* Internal Control Questionnaire (ICQ) would be completed and *ONE* Indirect Cost Rate would be identified for all entities identified under the registration.
- Parent/Child –primarily for Local Governments –Counties and Municipalities with multiple departments and divisions that have their own DUNS numbers **AND** receive the funds/awards directly. The funds/awards DO NOT go through the highest level.
 - The highest entity (the parent) level would register their FEIN and DUNS, complete an ICQ and select an indirect cost rate just for their use.
 - Each department and/or division (the children) would then register their DUNS, complete an ICQ and select an indirect cost rate just for their individual use.

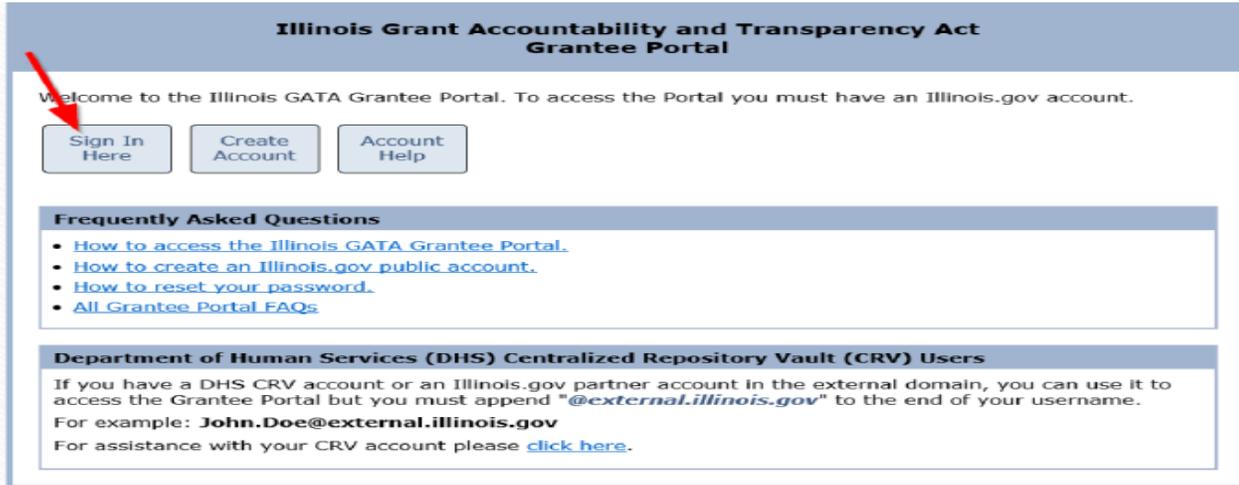


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2300 South Dirksen Parkway / Springfield, Illinois 62764

Step 6.7 – Login to the GATA Grantee Portal

Go to the homepage of the [GATA Grantee Portal](#) and click on “[Sign In Here](#)”. On the next page enter your username and password.



Step 6.8 Usage Terms and Conditions

Once you are successfully logged in, you will be asked to accept or decline the “Usage Terms and Conditions”. Accepting the terms will allow you to access your organization’s profile.

Step 6.9 Associate Your Entity

You will now be asked to enter your entity’s DUNS number to associate your account.

Step 6.10 Create organization profile

If this is a new registration, you will be asked to enter information about the organization. This information should mirror the information provided to FEIN, DUNS and SAM.gov.

Once the information is completed click on “Save”.



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Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

**Illinois Grant Accountability and Transparency Act
Grantee Portal - Registration**

To create an account with the Illinois Grant Accountability and Transparency System please fill in the form and click the 'Save' button. All fields are required except those labeled 'Optional'.

Organization DUNS

Organization FEIN *(Numbers only, 9 digit Federal Employer ID Number)*

Organization Type

Illinois Secretary of State File ID *(Numbers only)*
 [Illinois Secretary of State](#)

Organization Name

Organization Address 1 <input type="text"/>	Organization Address 2 <i>(Optional)</i> <input type="text"/>
City <input type="text" value="Chicago"/>	State <input type="text" value="IL"/> Zip Code <input type="text"/>
Primary Email <input type="text"/>	Primary Phone <input type="text"/>

Does this organization have 2 or more years experience with Illinois grants? Yes No

IMPORTANT: Please enter and validate this organization's correct fiscal year end date. GATA must record the correct date for audit requirements. Upon clicking the 'Save' button, changes to this date can only be done by contacting the state cognizant agency.

Fiscal Year End Month: **Fiscal Year End Day:**

Step 6.11 – Verification of Pre-Qualification Status

After registration, the GATA Website will electronically verify the pre-qualification requirements. (Verification may take 1-3 business days to display on the GATA Grantee Portal.)

The GATA Website will verify that an entity:

- Has a valid DUNS number
- Has a current SAM.gov account
- Not on the Federal Excluded Parties List; A list of entities who have been barred from government contracting.
- In Good Standing with the Illinois Secretary of State, as applicable;
- Not on the Illinois Stop Payment list;
- Not on the Dept. of Healthcare and Family Services Provider Sanctions list.



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Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

Pre-qualification status will be verified after Grantee Registration is completed and nightly thereafter. If an entity has a pre-qualification issue, the specific issue and remediation support is provided through the grantee portal. You will see a webpage similar image to the below:

**Illinois Grant Accountability and Transparency Act
Grantee Portal - Main Menu**
Sign out

Organization:

GATA ID:

State cognizant agency: **Transportation (494)**

Contact for assistance: DOT.GATA@illinois.gov

You are signed in as:

Notes and Comments Add Note

Post notes for other portal users in your organization. State agency staff can also view and create notes.

Pre-Qualification Status

Your organization not in good standing. Items in red require your action.

Items in yellow are being evaluated by the State. Their status could take up to one business day.

Requirement	Status	Remediation
SAM.gov Account	DUNS not found	<input type="button" value="Help"/>
Federal Employer ID (FEIN)	Pending	<input type="button" value="Help"/>
Federal Excluded Parties List	Good	<input type="button" value="Help"/>
Illinois Stop Payment List	Good	<input type="button" value="Help"/>
Illinois DHFS Sanction List	Good	<input type="button" value="Help"/>

Note, entities on the Federal Excluded Parties List are not eligible to do business with the State of Illinois. A grantee may be on the Stop Payment List for not meeting terms of past grants with the State of Illinois. If you need to have your status checked, contact the IDOT Grant Services and Administrative Section at: DOT.GATACompliance@Illinois.gov

Once all the requirements are marked as 'Good' you are eligible to apply to State of Illinois grant opportunities.

Congratulations!!

Remember, that you must remain in qualified status to receive a grant award and payments. You will also need to complete risk assessments prior to receiving a grant award.

Note, this process is free to apply.



Risk Assessments

GATA requires all entities to complete a fiscal and administrative and programmatic risk assessment prior to receiving a grant award. This means that an entity can complete the risk assessments before or after an application is submitted, but they must be complete both assessments before an award can be executed.

The Fiscal and Administrative Risk Assessment is available on the GATA Grantee Portal after successful registration and pre-qualification. The Programmatic Risk Assessment and submission instructions will be made available by the State Agency during or after the application period.

Fiscal and Administrative Risk Assessment – ICQ

Entities must complete an Internal Controls Questionnaire (ICQ) as the Fiscal and Administrative Risk Assessment. The ICQ is completed **annually**, on the GATA Grantee Portal. All state agencies utilize the results of the ICQ.

If you are a startup, you will need to have already determined how you will track your finances, how the business will be administered, and how the grant program will be managed.

The ICQ consists of about 70 questions that are separated into 10 sections. Each section will take some time to thoughtfully review and answer. Keep in mind, the results of questionnaire may result in additional conditions an entity will need to complete if awarded the grant program.

To complete the ICQ:

1. Log into the GATA portal and click on “Create an FY 2022 ICQ” to start the questionnaire.
2. Answer the questions in each of following sections and once complete hit “Submit”.
3. Enter the names of the entity CEO, CFO and the person completing the assessment and click “Certify and Submit”.
4. Each entity registered in the GATA Grantee Portal is assigned a Cognizant State Agency. The Cognizant State Agency may or may not be the State Agency you are submitting a grant application to. However, this agency will review and approve your submitted ICQ and manage other general GATA-related issues. This agency may reach out to you with questions or concerns regarding your answers to the ICQ.

If you have questions regarding the ICQ, reach out to your Cognizant State Agency using the contact information listed in your grantee profile.

Programmatic Risk Assessment – PRA

Entities must complete a Programmatic Risk Assessment for each grant award. The Programmatic Risk Assessment consists of grant-specific questions and is administered by the awarding agency. The Programmatic Risk Assessment is generally performed towards the end of the application process. Your state awarding agency will inform you of the timing of the Programmatic Risk Assessment and administer the Programmatic Risk Assessment.



Indirect Cost Rates

On an annual basis, grantees/subrecipients are required to make an indirect cost rate election through the State of Illinois Grantee Portal. The Centralized system will be made available to all State of Illinois grantees/subrecipients.

The State of Illinois NICRA negotiation process will not be available to grantees/subrecipients that do not have awards listed in the CSFA. If a grantee/subrecipient currently receives an award from the State of Illinois and is being prevented from making an indirect cost rate election in the centralized system, the grantee/subrecipient should contact its state awarding agency immediately to ensure awards have been entered into the CSFA in a timely manner. Once the state awarding agency enters the award in the CSFA, the grantee/subrecipient will be notified within 24 hours.

All indirect cost rate and elections will be based upon the grantee/subrecipient's fiscal year end, not the state's fiscal year end.

Types of Indirect Cost Rate Elections

On an annual basis, grantees/subrecipients are required to make an indirect cost rate election through the State of Illinois Grantee Portal. The requirements for the centralized indirect cost rate process includes the election or negotiation of the following indirect cost rate types as specified in the Federal Uniform Guidance:

1. Waive the right to charge indirect costs to grant awards (Waive or No Rate);
2. De Minimis Rate of 10% of modified total direct costs (10% MTDC);
3. Negotiate an Indirect Cost Rate Agreement with the State of Illinois (State NICRA), except Local Governments, see below.
4. Utilize a current Federally Negotiated Indirect Cost Rate Agreement (Federal NICRA), except Local Governments, see below.
5. Special Requirements for Local Governments
 - a. Local Governments are allowed to negotiate rates by individual departments or the entity as a whole. In order to negotiate an indirect cost rate by department, you must have a separate DUNS/Unique Entity Identifier and register separately in the GATA Grantee Portal, as we are required to treat you as an individual organization.
 - b. Local Governments receiving more than \$35 million in direct federal funding are required to negotiate their indirect cost rate with their federal cognizant agency.
 - c. Local Governments receiving less than \$35 million in direct federal funding are required to maintain indirect cost rate proposals (ICRPs) for federal audit purposes. These federally maintained proposals must be submitted to the State of Illinois to be reviewed and meet monitoring requirements. For purposes of the Centralized System, these federal ICRPs will be labelled as "State NICRAs" for local governments.



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2300 South Dirksen Parkway / Springfield, Illinois 62764

Election Timeframes

New Elections

Grantees/subrecipients must submit an initial indirect cost proposal or rate election in the Centralized System upon notice of award, and no later than 90 days after the effective date of the state award.

Subsequent Elections

Grantees/subrecipients that have previously established a State NICRA or made an election, are required to submit a new indirect cost proposal or rate election within six (6) months after the grantee/subrecipient's fiscal year end.

Federal NICRA Provisional Rate Elections

Certain federal agencies may provide provisional rates beyond a grantee/subrecipients current fiscal year end. Therefore, the Centralized ICR System will request a grantee/subrecipient with a current Federal NICRA to make a subsequent election immediately upon the receipt of a new federal NICRA.

EXAMPLE: Grantee/subrecipient has a Federal NICRA set to expire on 6/30/20 – A new election is required in the ICR System beginning 7/1/2020. If a new election is not made by date mentioned, the ICR System will provide notifications, via email, every thirty (30) days.

[Indirect Cost Rate Training Webinar](#)

[GATA Indirect Cost Rate Manual](#)

IDOT State of Illinois University ICR Policy

The 12 State of Illinois public universities will be required to work with IDOT on either applying a 20% rate for on-campus programs, or 10% rate for off-campus programs on the base of their approved current NICRA or to show calculations to reduce their federal NICRA rate for on-campus/off-campus to account for the annual general appropriations they receive from the State of Illinois for facilities and administration (pensions, healthcare, etc.).

POLICY: State of Illinois Universities Facilities and Administration (F&A) Memorandum

Federal Facilities and Administrative (F&A) rate agreements are used for indirect cost reimbursement on grants, contracts and/or other agreements issued or awarded to State Universities by all Federal Agencies of the United States in accordance with the cost principles mandated by 2 CFR 200.



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Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

State Universities have recognized the State of Illinois continuously funds a portion of its facilities and administrative costs through annual general fund appropriations. Consequently, State Universities cannot seek the same percentage of indirect reimbursement from Federal pass-through and State of Illinois awards issued by State of Illinois Agencies.

Therefore, The Grant Accountability and Transparency Unit, The Governor's Office of Management & Budget along with Chief Accountability Officers from State of Illinois awarding agencies recommend the following F&A policy for State funded Universities.

The following State University F&A Rate and Base will apply to all State issued awards that contain either Federal pass-through funding or State funding.

RATE: 20%, Rate for awards or programs administered On-Campus*

10%, Rate for awards or programs administered Off-Campus*

BASE: Base approved in the State Universities' current Federally Negotiated Indirect Cost Rate Agreement (NICRA)

*Criteria for utilization of the on/off campus rate is located within the general terms and conditions of Federal NICRA for each State University. If not clearly defined, State awarding agencies and officers will make final determination based upon the purposes of the grant scope.

Listing of State of Illinois Universities that must follow the recommended F&A policy

- Chicago State University
- Eastern Illinois University
- Governors State University
- University of Illinois at Chicago
- University of Illinois at Springfield
- University of Illinois at Urbana-Champaign
- Illinois State University
- Northeastern Illinois University
- Northern Illinois University
- Southern Illinois University Carbondale
- Southern Illinois University Edwardsville
- Western Illinois University



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Centralized Audit Review Process

Once an organization has received an award (grant) from a State grant making agency, or any entity that receives formula funds, such as Motor Fuel Tax (grant), once your organizations fiscal year has ended you will have GATA audit requirements to complete.

Log into the GATA portal using your credentials established when registering.

1. Scroll down to 'Active Audit Reviews' section

**Illinois Grant Accountability and Transparency Act
Grantee Portal - Main Menu** Sign out

The following items require action

An audit review is pending your action. Please [scroll down](#) to the 'Audit Review' section.

Organizations:

2. Click 'View' next to the correct 'Audit ID'

Active Audit Reviews

Audit	Audit ID	Status	Status Description
View	43223	Certification Past Due	The certification form is past due.

[Click to view a list of all audit reviews](#)
[Federal Uniform Guidance and Illinois GATA audit requirements.](#)

3. You will now see the Audit Review Page of the Grantee Portal
 - a. Audit Information – Provides:
 - i. Audit ID
 - ii. Audit Period
 - iii. Audit Contacts for your organization



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- a. Step 1 - Audit Certification Form
- b. Step 2 - Consolidated Year End Financial Report (CYEFR)
- c. Step 3 - Audit Package Upload (Peer Review Only for Single Audit)
- d. Step 4 – State Data Collection Form
- e. Step 5 - Management Decision Letter (State Agency Responsibility)

Illinois Grant Accountability and Transparency Act Grantee Portal - Audit Review

[Grantee Portal](#) / [Audit Reviews](#) / Audit

Audit Information

Audit ID	43223	(If assistance is needed, contact your cognizant agency and reference this number)
Audit Period	Start: 3/1/2017	End: 2/28/2018 Organization's FY End Date: 06/30
Audit Contacts	Your organization does not have portal users selected to receive audit review notifications. Click the "Manage Portal Access" button then select the user(s) to edit their notifications.	
	<input type="button" value="Manage Portal Access"/>	
Status	Certification Past Due : The certification form is past due.	

Step 1 - Audit Certification Form

The audit certification form is used to determine the type of audit that this organization is required to perform. The submission of this form is required no later that 60 calendar days after the end of the organization's fiscal year.

Due: 4/29/2018

Step 2 - Consolidated Year-End Financial Report

The consolidated year-end financial report (CYEFR) is a breakout of all spending by program and expense category. Every dollar expended in your organization's fiscal year must be reflected in this report.



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Step 3 - Upload File Requirements

For all audits, a Peer Review Letter or Internal Inspection for 'off-years' is required.

For single and program audits, the audit file pulled from your submission to the Federal Audit Clearinghouse by your state cognizant agency.

For financial audits, you will be required to upload the audit files.

[Upload Requirements](#)

Step 4 - Data Collection Form

The data collection form gathers information about the audit. It is used to search, filter and route the audit through the review process. It is due immediately after the audit package upload.

[Data Collection Form](#)

Step 5 - Management Decision Letter

Once the audit review process is complete you will be able to view the Management Decision Letter here.

Certification Questions

An email notification will be sent the day after your fiscal year ends to notify an organization that an GATA audit case has been created in the grantee portal. The certification form is due 60 days from the fiscal year end of the entity.

There are four questions that must be answered:

1. Has this organization expended \$750,000 or more in federal awards this year?
 - Federal awards includes both pass-through and direct funds
 - Fiscal Year is in reference to the organization's fiscal year
 - \$750,000 includes the sum of all federal sources
 - Single Audit Required
2. Has this organization spent \$500,000 or more in federal and state awards this fiscal year?
 - Federal awards includes both pass-through and direct funds
 - Fiscal Year is in reference to the organization's fiscal year
 - \$500,000 includes the sum of all federal and state sources
 - GAGAS Audit Required
3. Has this organization spent \$500,000 or more in federal and state awards this fiscal year?
 - Federal awards includes both pass-through and direct funds
 - Fiscal Year is in reference to the organization's fiscal year
 - \$300,000 includes the sum of all federal and state sources
 - GAAS Audit Required
4. Will an audit be conducted for an organization for this fiscal year?



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- This includes a financial statement audit conducted due to regulatory requirements (i.e. – Illinois Attorney General, Illinois Municipal code, PCAOB, etc.)
- This includes financial statement audits conducted voluntarily
- If YES – Other audit required
- If NO – CYEFR only required

**Illinois Grant Accountability and Transparency Act
Grantee Portal - Audit Review**

[Grantee Portal](#) / [Audit Reviews](#) / Audit

Audit Information	
Audit ID	43223 (If assistance is needed, contact your cognizant agency and reference this number)
Audit Period	Start: 3/1/2017 End: 2/28/2018 Organization's FY End Date: 06/30
Audit Contacts	Your organization does not have portal users selected to receive audit review notifications. Click the "Manage Portal Access" button then select the user(s) to edit their notifications.
	<input type="button" value="Manage Portal Access"/>
Status	Certification Past Due : The certification form is past due.

Step 1 - Audit Certification Form

The audit certification form is used to determine the type of audit that this organization is required to perform. The submission of this form is required no later that 60 calendar days after the end of the organization's fiscal year.

Due: 4/29/2018

Consolidated Year End Financial Report (CYEFR)

The Consolidated Year End Financial Report (CYEFR) is pre-populated with grants that have been awarded to an organization from a State of Illinois agency. Expenses are to be reported on the organization’s basis of accounting, and it must tie out to the audited financials.

If a grant program has not pre-populated for the CYEFR, the organization can choose the state agency and the program themselves. Grant expenses from the state **MUST** be broken out by budget category. Other grant programs and activities (grants not from a state agency) and all other costs not allocated (all other entity expenditures) **DO NOT** have to be broken out by budget category, those expenses can be lumped together under the budget category Miscellaneous. The CYEFR should be completed and certified in the GATA portal by the organization’s CFO, treasurer or equivalent.

The CYEFR will be due for Single audits 30 days after completion of the Single audit, but no later than 9 months after the fiscal year end of the organization. The CYEFR will be due for all other audit types 30 days after completion of the audit, but no later than 6 months from the fiscal year end of the organization.



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IMPORTANT: 12/31/17 Fiscal year audits and later are required to have an 'In Relation To' opinion to the audited financial statements for Single Audits (\$750K or more in federal/federal pass through expenditures), GAGAS Audits (\$500K or more in State and/or Federal expenditures) and GAAS Audits (\$300K or more in State and/or Federal Expenditures). If you have an audit conducted for other regulatory reasons or voluntarily, an in-relation to opinion is not required.

If you are required to have an in-relation to opinion on the audited financials (CYEFR), you will need to work with your CPA firm to input the expenditure amounts on the CYEFR in the GATA portal. Once the CPA firm agrees with the CYEFR amounts, you will need to print the CYEFR and give it to your CPA firm for them to provide the opinion. This opinion, along with the printed CYEFR will need to be uploaded as part of your audit package. If you do not include these documents, your audit could potentially fail and you can lose out on possible future grant funding.

Please see attachment A and B for two different examples of the in-relation to opinion for the CYEFR at the end of this manual.

Please direct any questions on the in-relation to opinion to DOT.GATA@Illinois.gov

[Grantee Portal](#) / [Audit Reviews](#) / [Audit](#) / CYEFR

[Add a Program](#) [Certify & Submit](#)

	CSFA #	Program Name	\$ State	\$ Federal	\$ Other	\$ Total
View	494-00-1008	Federal Lands Access Program	0	0	0	0
View	494-80-1283	Rail 5329 State Safety Oversight Agency & Program	0	0	0	0
View	588-40-0455	Homeland Security Grant Program	0	0	0	0
View		Other grant programs and activities		0	0	0
View		All other costs not allocated			0	0
Totals:			0	0	0	0

Based on the audit certification form submitted, this CYEFR must account for at least **\$750,000** in federal expenditures. If there is an error in the audit certification form, please contact your state cognizant agency for assistance.

Please note the following:

- The CYEFR may be pre-populated with programs based on existing awards in the GATA system. These programs cannot be removed. If no spending occurred in a program leave the amounts at zero.
- Any grant expenditures not associated with funding received through the State of Illinois are to be entered in "Other grant programs and activities". The expenditures must be identified as federal (direct or pass-through) or other funding.
- All other expenditures not associated with state or federal dollars are to be entered in "All other costs not allocated".
- The grand total must account for all expenditures for the fiscal year and must tie to the audited financials.



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Audit Upload

Single Audits are due to the Federal Audit Clearinghouse (FAC) 30 days after completion of the audit, but no later than 9 months after the fiscal year end. Single audits will be automatically pulled into the GATA portal from the FAC.

GAGAS, GAAS and "Other" audits are due to be uploaded to the GATA portal by the organization 30 days after completion of the audit, but no later than 6 months after the fiscal year end.

For all audit types, a Peer Review Letter or Internal Inspection for "off years" is required. Reach out to your CPA firm to request a copy if it is not included in your audit.

Illinois Grant Accountability and Transparency Act Grantee Portal - Audit Upload

[Grantee Portal](#) / [Audit Reviews](#) / [Audit](#) / Upload

Save & Submit

Save Only

Disclaimer: The file content requirements and the audit type shown are based on the Audit Certification Form previously submitted by this organization. Please consult your financial or CPA representative to make sure these are the correct requirements. If they are not, you must contact your State of Illinois cognizant agency to request the certification form be returned for editing.

When the files are selected, click the "Save & Submit" button.

File Content Requirements for Financial Audit

1. Financial Statements (200.510(a)).
2. Auditor's Report (200.515).
3. Consolidated Year-End Financial Report w/in Relation to Opinion.
4. Peer Review Letter or Internal Inspection for 'off-years'.
5. Summary Schedule of Prior Audit Findings (200.511(b)), if applicable.
6. Corrective Action Plan (200.511(c)), if applicable.
7. Management Letters and any other communications from the auditor required by AU325, if applicable.

File Type Requirements

- Files must be PDF (Adobe Acrobat Portable Document Format).
- Files must be text-searchable, unlocked and unencrypted.
- Files must be less than 30 MB in size.

Choose file



Data Collection Form

Single Audits – The data collection form is filled out on the Federal Audit Clearinghouse and then downloaded to the GATA portal.

For all other audits, the data collection form is filled out immediately after the audit has been uploaded to the GATA portal.

Step 4 – State Data Collection Form (due with Audit Package Upload)

Step 4 - Data Collection Form

The data collection form gathers information about the audit. It is used to search, filter and route the audit through the review process. It is due immediately after the audit package upload.

Data Collection Form

1. Reminder notification through Grantee Portal 45 days prior to due date if Audit Certification Form has been submitted. If not, no reminder will be sent as weekly reminders are already being sent.
2. Single Audits and Program Audits
 - a. Auto populated and submitted with data from FAC Data Collection Form

Finalization of the Audit Process

State agencies have 45 days to review the CYEFR to see if the expenses reported by the organization agree with the State agency records. If the state agency DOES NOT AGREE, the organization will be contacted to discuss the discrepancy, the CPA firm may also need to be involved. If the state agency does agree, they will flag the CYEFR as good and will send it to the contractor for review.

The 45 days to review the CYEFR starts after the contractor has validated the audit package as being complete.

The contractor will review the audit package within 14 days of submittal to ensure the audit package is complete and meets applicable standards. If the package is incomplete, the organization will be notified by email of the missing items, giving them 7 days to resubmit. If the missing items have not been resubmitted after 2 attempts, the cognizant agency can invoke the stop-pay process against the organization until a complete submission is received.

State awarding agencies are required to review and approve the corrective action plan (CAP) for all audit findings that have been identified in the audit. If unable to approve the CAP, the state agency may invoke the Cooperative Audit Resolution Team (CART) process. Grantees can also invoke the CART process by contacting their cognizant agency.



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Within 180 days of submission of a complete audit report packet (for Single Audit, this is FAC acceptance date, for Financial Statement Audit, this is determined by the Contractor), the cognizant agency must provide the grantee with a Management Decision Letter (MDL) outlining the State's review of the audit report and associated corrective action plan (if necessary). After issuance of the MDL the audit is considered closed and will be archived accordingly.

[Audit Review Manual](#)

Statewide Grant Management System

Upcoming News

The State of Illinois is developing a new statewide lifecycle grant management system building on the GATA frameworks currently in place. This new system will provide a common internet-accessible platform for all grant activity. The grant management system will improve the grant user experience by adding automation to the standard processes and templates implemented under GATA. It will use data already populated in the GATA Portal to prefill online templates and minimize duplicate entries by applicants, grantees and state agencies. All grant administration will occur within the new grant management system. This will enhance grant oversight and give Illinois more comprehensive information about the use of grant funds.

Grantees and state agencies will continue to use existing GATA systems. Grantees will connect to the grant management system through the Grantee Portal. State agencies will continue to use the GATA Implementation Intranet Site. The Catalog of State Financial Assistance (CSFA) will remain the source of funding opportunities (NOFOs). Entities will link to a standard electronic grant application process to make it easier for entities to request financial assistance. State agencies will use the new grant management system to review applications and create grant agreements. System generated notices to state agency staff, applicants and grantees will automate the delivery of communications.

Illinois knows it is successful when our grantees are successful. Following GATA practices, more than 130 representatives from state agencies and the grantee community are engaged in planning and configuration efforts related to implementing Phase 1 of the new grant management system. These representatives serve on subcommittees where approximately 30% of the members represent the grantee community. The subcommittees will recommend standard, statewide business processes using current, uniform GATA templates and frameworks. The new system will use these standard business processes.

- An Information Technology (IT) subcommittee provides the overarching technology direction for the new system, including how it will integrate with other systems.
- Four additional subcommittees are focused on grant applications, budgets, merit-based review of competitive grant applications, and grant agreements.
- The Grant Management System Steering Committee is made up of the co-chairs of the five subcommittees to make final decisions based on the recommendations from the subcommittees.

Phase 2 of the project will include post-award functionality. Committees and workgroups will be identified to support these efforts with continued state agency and grantee involvement.

As work progresses under the grant management system project, additional updates will be provided.



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Attachment A

(Example #1 CYEFR with In-Relation to Opinion)



CERTIFIED PUBLIC ACCOUNTANTS & ADVISORS



**INDEPENDENT AUDITORS' REPORT
ON SUPPLEMENTARY INFORMATION**

The Honorable Mayor and
Members of the City Council
City of [REDACTED], Illinois

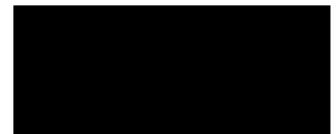
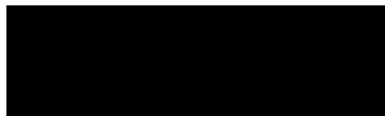
We have audited the modified cash basis financial statements of the governmental activities, the business-type activities, and each major fund of the City of [REDACTED], Illinois (the City), as of and for the year ended April 30, 2018 and the related notes to the financial statements, which collectively comprise the City's basic financial statements, and have issued our report thereon dated November 15, 2018, which expressed an unmodified opinion on those statements.

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City's basic financial statements as a whole. The accompanying supplementary information schedules of Consolidated Year End Financial Report based on Illinois Grant Accountability and Transparency Act are presented for purposes of additional analysis and are not a required part of the basic financial statements. These schedules are the responsibility of management and were derived from and relate directly to the underlying accounting and other records used to prepare the financial statements. The information in these schedules has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. In our opinion, the information is fairly stated in all material respects in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of the Mayor, City Council, and management of the City and is not intended to be and should not be used by anyone other than those specified parties.



[REDACTED]
November 15, 2018



CITY OF ██████████, ILLINOIS
 CONSOLIDATED YEAR END FINANCIAL REPORT
 ILLINOIS GRANT ACCOUNTABILITY AND TRANSPARENCY ACT
 For the year ended April 30, 2018

Schedule 1

<u>CSFA #</u>	<u>Program Name</u>	<u>State Amount</u>	<u>Federal Amount</u>	<u>Other Amount</u>	<u>Total</u>
494-00-0957	Economic Development Program	\$ 472,878	\$ -0-	\$ 280,506	\$ 753,384
494-00-0958	Truck Access Route Program	49,000	-0-	-0-	49,000
494-00-0963	Grade Crossing Protection Fund	200,570	-0-	-0-	200,570
494-00-1488	Motor Fuel Tax Program	159,556	-0-	-0-	159,556
	Other Grant Programs and Activities	-0-	-0-	-0-	-0-
	All Other Costs Not Allocated	-0-	-0-	1,756,866	1,756,866
		<u>\$ 882,004</u>	<u>\$ -0-</u>	<u>\$ 2,037,372</u>	<u>\$ 2,919,376</u>

CITY OF ██████████, ILLINOIS
 CONSOLIDATED YEAR END FINANCIAL REPORT
 ILLINOIS GRANT ACCOUNTABILITY AND TRANSPARENCY ACT
 For the year ended April 30, 2018

Schedule 2

Program Name	CSFA #	State Amount	Federal Amount	Other Amount	Total
<u>Economic Development Program:</u>	494-00-0957				
Contractual Services		\$ 69,617	\$ -0-	\$ -0-	\$ 69,617
Construction		403,261	-0-	279,971	683,232
Miscellaneous Costs		-0-	-0-	535.00	535.00
Total Economic Development Program		472,878	-0-	280,506	753,384
<u>Truck Access Route Program:</u>	494-00-0958				
Construction		49,000	-0-	-0-	49,000
Total Truck Access Route Program		49,000	-0-	-0-	49,000
<u>Grade Crossing Protection Fund:</u>	494-00-0963	200,570	-0-	-0-	200,570
Contractual Services		22,895	-0-	-0-	22,895
Construction		177,675	-0-	-0-	177,675
Total Grade Crossing Protection Fund		200,570	-0-	-0-	200,570
<u>Motor Fuel Tax Program:</u>	494-00-1488	159,556	-0-	-0-	159,556
Contractual Services		19,053	-0-	-0-	19,053
Construction		140,362	-0-	-0-	140,362
Miscellaneous		141	-0-	-0-	141
Total Motor Fuel Tax Program		159,556	-0-	-0-	159,556
Total All Programs		\$ 882,004	\$ -0-	\$ 280,506	\$ 1,162,510

CITY OF ██████████, ILLINOIS
CONSOLIDATED YEAR END FINANCIAL REPORT
ILLINOIS GRANT ACCOUNTABILITY AND TRANSPARENCY ACT
For the year then ended April 30, 2018

Schedule 3

<u>Program Name</u>	<u>Other Amount</u>
<u>All Other Costs Not Allocated:</u>	
Personal Services	\$ 696,008
Fringe Benefits	215,714
Travel	88
Equipment	126,060
Supplies	23,737
Contractual Services	32,228
Consultant (Professional Services)	75,297
Construction	57,107
Occupancy - Rent and Utilities	38,121
Research and Development	1,533
Telecommunications	29,069
Training and Education	8,027
Direct Administrative Costs	13,065
Miscellaneous Costs	<u>440,812</u>
 Total All Other Costs Not Allocated	 <u>\$ 1,756,866</u>



Illinois Department of Transportation

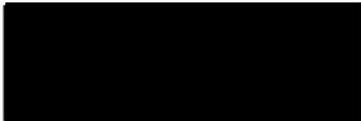
Office of Finance and Administration / Bureau of Business Services
2300 South Dirksen Parkway / Springfield, Illinois 62764

Attachment B

(Example #2 CYEFR with In-Relation to Opinion)



INDEPENDENT AUDITOR'S REPORT ON SUPPLEMENTARY INFORMATION



We have audited the financial statements of [REDACTED] County, Illinois as of and for the year ended November 30, 2018 and our report thereon dated August 26, 2019, which expressed an unmodified opinion on those financial statements, appears on page 2. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole.

The following schedules are presented for the purpose of additional analysis and are not a required part of the financial statements. The Consolidated Year-End Financial Report is required by the Grant Accountability and Transparency Act (GATA). Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.



August 26, 2019



**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Block Grants for Prevention and Treatment of Substance Abuse- Global (444-26-0724)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	0.00	77,168.00	0.00	77,168.00
Fringe Benefits	0.00	6,014.00	14,079.00	20,093.00
Supplies	0.00	0.00	9,803.00	9,803.00
TOTAL DIRECT EXPENDITURES	0.00	83,182.00	23,882.00	107,064.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Bureau of Maternal and Child Health - Family Case Management (444-80-1674)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	17,620.00	0.00	0.00	17,620.00
Fringe Benefits	5,184.00	0.00	0.00	5,184.00
TOTAL DIRECT EXPENDITURES	22,804.00	0.00	0.00	22,804.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Bureau of Maternal and Child Health- High Risk Infant Follow-Up/Healthworks (444-80-1675)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	2,464.00	550.00	0.00	3,014.00
TOTAL DIRECT EXPENDITURES	2,464.00	550.00	0.00	3,014.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Crisis Staffing (580) (444-22-0231)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	17,374.00	0.00	12,875.00	30,249.00
Fringe Benefits	619.00	0.00	69.00	688.00
Supplies	0.00	0.00	1,890.00	1,890.00
TOTAL DIRECT EXPENDITURES	17,993.00	0.00	14,834.00	32,827.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Healthy Families Illinois (444-80-0660)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	88,284.00	0.00	0.00	88,284.00
Fringe Benefits	19,427.00	0.00	0.00	19,427.00
Travel	6,374.00	0.00	0.00	6,374.00
Supplies	16,942.00	0.00	0.00	16,942.00
Occupancy - Rent and Utilities	12,689.00	0.00	0.00	12,689.00
Direct Administrative Costs	2,844.00	0.00	0.00	2,844.00
TOTAL DIRECT EXPENDITURES	146,560.00	0.00	0.00	146,560.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Maternal & Infant Health Program - Family Case Management (444-80-0678)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	35,823.00	0.00	0.00	35,823.00
TOTAL DIRECT EXPENDITURES	35,823.00	0.00	0.00	35,823.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Psychiatric Medications (574) (444-22-0635)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Fringe Benefits	0.00	0.00	41.00	41.00
Supplies	2,777.00	0.00	2,965.00	5,742.00
TOTAL DIRECT EXPENDITURES	2,777.00	0.00	3,006.00	5,783.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Supplemental Nutrition Program for Women, Infants and Children - Breastfeeding Peer Counselor Program (444-80-0670)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	0.00	10,092.00	1,675.00	11,767.00
Fringe Benefits	0.00	0.00	617.00	617.00
Supplies	0.00	0.00	3,376.00	3,376.00
TOTAL DIRECT EXPENDITURES	0.00	10,092.00	5,668.00	15,760.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Human Services (444)
Program Name	Supplemental Nutrition Program for Women, Infants and Children - WIC Program (444-80-0668)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	0.00	60,816.00	7,592.00	68,408.00
Fringe Benefits	0.00	11,724.00	1,066.00	12,790.00
Supplies	0.00	393.00	5,046.00	5,439.00
Contractual Services	0.00	0.00	2,700.00	2,700.00
TOTAL DIRECT EXPENDITURES	0.00	72,933.00	16,404.00	89,337.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Healthcare And Family Services (478)
Program Name	Child Support Enforcement (478-00-0245)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	957.00	1,859.00	0.00	2,816.00
TOTAL DIRECT EXPENDITURES	957.00	1,859.00	0.00	2,816.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Healthcare And Family Services (478)
Program Name	Medical Assistance Program (478-00-0251)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
	0.00	0.00	0.00	0.00
TOTAL DIRECT EXPENDITURES	0.00	0.00	0.00	0.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Cities Readiness Initiative Cooperative Agreement (482-00-0265)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	0.00	26,911.00	0.00	26,911.00
Fringe Benefits	0.00	680.00	4,173.00	4,853.00
Travel	0.00	2,449.00	0.00	2,449.00
Equipment	0.00	6,336.00	0.00	6,336.00
Supplies	0.00	52.00	0.00	52.00
Contractual Services	0.00	6,994.00	4,061.00	11,055.00
Telecommunications	0.00	1,235.00	0.00	1,235.00
TOTAL DIRECT EXPENDITURES	0.00	44,657.00	8,234.00	52,891.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Dental Sealant Grant Program (482-00-1351)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Patient/Client Care	0.00	318.00	0.00	318.00
TOTAL DIRECT EXPENDITURES	0.00	318.00	0.00	318.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Genetic Education and Follow-Up (482-37-0917)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	6,376.00	0.00	4,627.00	11,003.00
Fringe Benefits	483.00	0.00	345.00	828.00
Supplies	60.00	0.00	0.00	60.00
Telecommunications	240.00	0.00	0.00	240.00
TOTAL DIRECT EXPENDITURES	7,159.00	0.00	4,972.00	12,131.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Illinois Title X Family Planning Program (482-00-0924)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	0.00	11,536.00	0.00	11,536.00
TOTAL DIRECT EXPENDITURES	0.00	11,536.00	0.00	11,536.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Illinois Tobacco-Free Communities (482-00-0911)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	10,119.00	0.00	0.00	10,119.00
Fringe Benefits	1,712.00	0.00	957.00	2,669.00
Travel	608.00	0.00	0.00	608.00
Supplies	729.00	0.00	1,187.00	1,916.00
Contractual Services	648.00	0.00	0.00	648.00
Occupancy - Rent and Utilities	494.00	0.00	0.00	494.00
Telecommunications	156.00	0.00	0.00	156.00
TOTAL DIRECT EXPENDITURES	14,466.00	0.00	2,144.00	16,610.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Lead Poisoning Prevention and Response (482-00-1583)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Program Expenses	6,200.00	0.00	184.00	6,384.00
TOTAL DIRECT EXPENDITURES	6,200.00	0.00	184.00	6,384.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Local Health Protection Grant (482-00-0901)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	48,835.00	0.00	0.00	48,835.00
Fringe Benefits	8,431.00	0.00	0.00	8,431.00
Travel	1,352.00	0.00	0.00	1,352.00
Contractual Services	5,903.00	0.00	1,493.00	7,396.00
TOTAL DIRECT EXPENDITURES	64,521.00	0.00	1,493.00	66,014.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Preschool Vision and Hearing (482-00-0919)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Patient/Client Care	319.00	0.00	0.00	319.00
TOTAL DIRECT EXPENDITURES	319.00	0.00	0.00	319.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Public Health Emergency Preparedness (482-00-0263)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	0.00	19,749.00	8,036.00	27,785.00
Fringe Benefits	0.00	1,041.00	1,892.00	2,933.00
Travel	0.00	2,227.00	0.00	2,227.00
Equipment	0.00	1,771.00	0.00	1,771.00
Contractual Services	0.00	6,657.00	6,128.00	12,785.00
Telecommunications	0.00	1,060.00	0.00	1,060.00
TOTAL DIRECT EXPENDITURES	0.00	32,505.00	16,056.00	48,561.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Safe Drinking Water (482-00-1034)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Program Expenses	0.00	100.00	0.00	100.00
TOTAL DIRECT EXPENDITURES	0.00	100.00	0.00	100.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Public Health (482)
Program Name	Vector Surveillance and Control Grants (482-00-0904)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	7,480.00	0.00	0.00	7,480.00
Fringe Benefits	1,042.00	0.00	0.00	1,042.00
Travel	808.00	0.00	0.00	808.00
Contractual Services	2,077.00	0.00	0.00	2,077.00
TOTAL DIRECT EXPENDITURES	11,407.00	0.00	0.00	11,407.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Illinois State Police (493)
Program Name	NG9-1-1 Expenses Grant Program (493-60-1652)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
	0.00	0.00	0.00	0.00
TOTAL DIRECT EXPENDITURES	0.00	0.00	0.00	0.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Transportation (494)
Program Name	Assistance to Needy Units of Governments - Township/Road Districts (494-00-0961)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
	0.00	0.00	0.00	0.00
TOTAL DIRECT EXPENDITURES	0.00	0.00	0.00	0.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Transportation (494)
Program Name	County Consolidated Program (494-00-0966)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Supplies	55,262.00	0.00	0.00	55,262.00
TOTAL DIRECT EXPENDITURES	55,262.00	0.00	0.00	55,262.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Transportation (494)
Program Name	Local Federal Bridge Program (494-00-1005)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
	0.00	0.00	0.00	0.00
TOTAL DIRECT EXPENDITURES	0.00	0.00	0.00	0.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Transportation (494)
Program Name	Motor Fuel Tax Program (494-00-1488)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	89,965.00	0.00	0.00	89,965.00
Supplies	13,993.00	0.00	0.00	13,993.00
Contractual Services	140,000.00	0.00	0.00	140,000.00
TOTAL DIRECT EXPENDITURES	243,958.00	0.00	0.00	243,958.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Transportation (494)
Program Name	State Matching Assistance Program (494-00-0964)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
	0.00	0.00	0.00	0.00
TOTAL DIRECT EXPENDITURES	0.00	0.00	0.00	0.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Transportation (494)
Program Name	Transit 5311 Formula Grants for Rural Areas (494-80-0338)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Contractual Services	0.00	65,086.00	0.00	65,086.00
TOTAL DIRECT EXPENDITURES	0.00	65,086.00	0.00	65,086.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Department Of Transportation (494)
Program Name	Transit Downstate Operating Assistance Program (494-80-1141)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Contractual Services	557,563.00	0.00	0.00	557,563.00
TOTAL DIRECT EXPENDITURES	557,563.00	0.00	0.00	557,563.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

State Agency	Illinois Emergency Management Agency (588)
Program Name	Emergency Management Performance Grants (588-40-0450)
Program Limitations	No
Mandatory Match	No
Indirect Cost Rate	0.00 Base:

Category	State	Federal	Other	Total
Personal Services (Salaries and Wages)	0.00	1,500.00	0.00	1,500.00
TOTAL DIRECT EXPENDITURES	0.00	1,500.00	0.00	1,500.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

Program Name	Other grant programs and activities
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Category	State	Federal	Other	Total
	0.00	0.00	0.00	0.00
TOTAL DIRECT EXPENDITURES	0.00	0.00	0.00	0.00

**Illinois Grant Accountability and Transparency
Consolidated Year-End Financial Report**

Program Name	All other costs not allocated
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Category	State	Federal	Other	Total
Miscellaneous Costs	0.00	0.00	10,234,470.00	10,234,470.00
TOTAL DIRECT EXPENDITURES	0.00	0.00	10,234,470.00	10,234,470.00



Illinois Department of Transportation

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Attachment C

IDOT GATA Contact Information

